

07 July 2017

To: **Members of the Sheffield City Region Combined Authority
Appropriate Officers**

NOTICE OF MEETING

You are hereby summoned to a meeting of the Sheffield City Region Combined Authority to be held at AMP TECHNOLOGY CENTRE, WAVERLEY, ROTHERHAM, S60 5WG at 2.00 pm on Monday 17 July 2017 for the purpose of transacting the business set out in the agenda.



**Diana Terris
Clerk to the Combined Authority**

This matter is being dealt with by:

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Gill Richards	grichards@syjs.gov.uk	01226 772806

Member Distribution

Councillors: S Houghton CBE (Chair), T Gilby (Vice-Chair), A Syrett, G Baxter, C Read, J Dore, S Greaves, Mayor R Jones CBE and L Roberts

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2.00 PM, 17 JULY 2017

The Technology Centre
Advanced Manufacturing Park
Waverley
Rotherham
S60 5WG

**Sheffield
City Region**

**COMBINED
AUTHORITY**

AGENDA

	Item	Page
1	Apologies	
2	Announcements	
3	Urgent Items To determine whether there are any additional items of business which by reason of special circumstances the Chair is of the opinion should be considered at the meeting; the reason(s) for such urgency to be stated.	
4	Items to be Considered in the Absence of the Public and Press To identify where resolutions may be moved to exclude the public and press. (For items marked * the public and press may be excluded from the meeting.)	
5	Voting Rights for Non-Constituent Members To identify whether there are any items of business that apply only to the South Yorkshire Members of the Combined Authority i.e. where it would not be appropriate for non-SY Members to have voting rights.	
6	Declarations of Interest by individual Members in relation to any item of business on the agenda	
7	Reports from and Questions by Members	
8	Receipt of Petitions	
9	Public Questions	
10	Minutes of the meeting held on 12th June 2017	1 - 6
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15	Devolution - Early Intervention Pilot Acceptance of Grant	To Follow
*16	Supertram Re-railing (Exemption Paragraph 3)	27 - 36

SHEFFIELD CITY REGION COMBINED AUTHORITY

AMP TECHNOLOGY CENTRE, WAVERLEY, ROTHERHAM, S60 5WG

MINUTES OF THE MEETING HELD ON 12 JUNE 2017

PRESENT:

Councillor Sir Steve Houghton CBE, Barnsley MBC (Chair)
Tricia Gilby, Chesterfield BC Councillor Tricia Gilby, Chesterfield BC (Vice Chair)

Councillor Graham Baxter MBE, North East Derbyshire DC
Councillor Chris Read, Rotherham MBC
Councillor Julie Dore, Sheffield CC
Mayor Ros Jones CBE, Doncaster MBC
Sir Nigel Knowles, SCR LEP Chair

Ruth Adams, SCR Exec Team
Huw Bowen, Chesterfield BC
Peter Dale, Doncaster MBC
Andrew Frosdick, Monitoring Officer
Andrew Gates, SCR Exec Team
Sharon Kemp, Rotherham MBC
Mark Lynam, SCR Exec Team
Ben Morley, Sheffield CC
John Mothersole, Sheffield CC
Luke Owen, SCR Exec Team
Mel Dei Rossi, SCR Exec Team
Dave Smith, SCR Exec Team
Daniel Swaine, Bolsover DC / NE Derbyshire DC
Neil Taylor, Bassetlaw DC
Diana Terris, Clerk / Barnsley MBC
Mike Thomas, SCC / SCR Exec Team
Craig Tyler, Joint Authorities Governance Unit
Eugene Walker, S.151 Officer

Apologies for absence were received from Councillor S Greaves, Councillor L Rose and Councillor A Syrett

1 VOTING RIGHTS FOR NON-CONSTITUENT MEMBERS

The meeting was opened by the Clerk, Diana Terris.

It was agreed there were no agenda items where non-Constituent Members should not have voting rights.

2 APPOINTMENT OF COMBINED AUTHORITY CHAIR AND VICE CHAIR

RESOLVED, that Cllr Sir Steve Houghton is appointed to serve as Chair of the SCR Combined Authority for the 2017/18 municipal year.

Cllr Sir Steve Houghton assumed the Chair of the meeting.

RESOLVED, that Cllr Tricia Gilby is appointed to serve as Vice Chair of the SCR Combined Authority for the 2017/18 municipal year.

3 APPOINTMENT OF ROTATIONAL MEMBERS FOR 2017/18

The Monitoring Officer provided Members with an explanation of the requirement to appoint 2 additional rotation Members to the Authority to ensure the constituent districts maintain a voting majority.

RESOLVED, that Cllrs Alan Gardiner (BMBC) and Mazher Iqbal (SCC) are appointed SCR Combined Authority second rotational Members for the 2017/18 municipal year.

4 APPOINTMENT OF AUDIT COMMITTEE MEMBERS AND INDEPENDENT MEMBERS

A report was received to note the Members appointed from the 9 SCR districts to the SCR Audit Committee and to detail the proposed process for the appointment of independent, non-voting co-optees to the SCR Audit Committee (a requirement prescribed by the Combined Authorities (Overview and Scrutiny Committees, Access to Information and Audit Committees) Order 2016, to be effective from 8th May 2017.

It was noted some appointments are still awaited.

RESOLVED, that the Combined Authority:

1. Notes the SCR districts' appointments to the SCR Audit Committee
2. Notes the requirement to appoint at least one independent, non-voting member of the SCR Audit Committee as prescribed by the Combined Authorities (Overview and Scrutiny Committees, Access to Information and Audit Committees) Order 2016
3. Approves the procedure for these appointments and agrees the proposed process is likely to bring the vacancy to the attention of the general public (as required by the Order)

4. Notes the recommendation of preferred candidates will be presented to the next meeting of the Combined Authority for required Member endorsement (as required by the Order)
5. Approves the making of appropriate changes to the SCR Constitution in relation to the appointment of independent, non-voting co-opted Members on the SCR Audit Committee.
6. Delegates responsibility to progress the appointments process to the Monitoring Officer, in consultation with the CA's other Statutory Officers

5 APPOINTMENT OF SCR OVERVIEW AND SCRUTINY COMMITTEE MEMBERS

A report was received to advise the Authority of the Members appointed from the 9 SCR districts to the SCR Overview and Scrutiny Committee.

It was noted some appointments are still awaited. These will be reported to the next meeting and enable the Authority to confirm the Chair of the Committee.

RESOLVED, that the Combined Authority notes the SCR districts' appointments to the SCR Overview and Scrutiny Committee

6 APPOINTMENT OF SOUTH YORKSHIRE PASSENGER TRANSPORT PENSION FUND COMMITTEE MEMBERS

A report was received to advise the Authority of the Members appointed from the 4 SY districts to the South Yorkshire Passenger Transport Pension Fund Committee.

Members were reminded the Combined Authority is the administering body for the South Yorkshire Passenger Transport Pension Fund (SYPTPF), responsibility for which transferred from the former Integrated Transport Authority on 1 April 2014. South Yorkshire Pensions Authority was appointed by the ITA as managing agent to deal with the day-to-day administration of the Fund. This arrangement has continued following the formation of the Combined Authority.

It was noted the SYPTPFC is currently overseeing work on the Combined Authority's behalf regarding the establishment of pooling arrangements for the future management of the investment activities of the Fund in response to requirements of central government to establish such pooled arrangements.

RESOLVED, that the Combined Authority notes the SY districts' appointments to the South Yorkshire Passenger Transport Pension Fund Committee.

7 APOLOGIES

Members apologies were noted as above.

8 ANNOUNCEMENTS

None.

9 URGENT ITEMS

None.

10 ITEMS TO BE CONSIDERED IN THE ABSENCE OF THE PUBLIC AND PRESS

None.

11 DECLARATIONS OF INTEREST BY INDIVIDUAL MEMBERS IN RELATION TO ANY ITEM OF BUSINESS ON THE AGENDA

None.

12 REPORTS FROM AND QUESTIONS BY MEMBERS

None.

13 RECEIPT OF PETITIONS

None.

14 PUBLIC QUESTIONS

None.

15 MINUTES OF THE MEETING HELD ON 24TH APRIL 2017

RESOLVED, that the minutes of the meeting of the Authority held on 24th April 2017 are agreed to be an accurate record of the meeting.

16 LOCAL GROWTH FUND FINANCIAL APPROVALS

A paper was received to present the financial approvals which have progressed through the Appraisal Framework. It was noted the proposals have received Infrastructure Executive Board endorsement via written approval.

RESOLVED, that the Combined Authority:

1. Approves the progression of Sheffield Upper Don Valley: Claywheels Sustainable Industries Park (CWL SIP) Phase 1 to Full Approval and Award of Contract at a cost of £1.511m to the SCR CA subject to the conditions set out in the Appraisal Panel Summary Table attached at Appendix 1 to the accompanying report.
2. Notes the update regarding the completion of funding agreements for Doncaster's DN7 Unity Project for £11,155,000 of SCRIF and Finningley and Rossington Regeneration Route Scheme (FARRRS) Phase 2 for £9,100,000 of SCRIF.

3. Approves the progression of Market Harborough Line Speed Enhancements financial contribution to Full approval and award of Contract at a cost of £5m to the SCR CA.
4. Approves delegated Authority to the Head of Paid of Service, in conjunction with the Chairman of the CA, to enter into the contractual arrangements required as a result of the above approvals

17 CA REVENUE & CAPITAL OUTTURN 2016/17

A report was received to provide the outturn position for the revenue budgets and capital programme for the 2016/17 financial year.

It was noted these positions will shape planning for 2017/18 and beyond.

The report also detailed the exercise of delegations to statutory officers to establish an investment fund vehicle in pursuit of housing and property aspirations.

Members were advised of a number of budget variations arising from; new grant being made available to the Authority since the 2017/18 budget was set, slippage on live activity that will roll forward into 2017/18, requests for resource to fund new activity and a revision to the existing CA/LEP operational budget due to material changes on income assumptions since the budget was set. It was further noted that of most significance amongst these changes is a request to draw a further £541k from the Authority's CA/LEP contingency reserve to meet income shortfalls that have arisen since the budget was set. Members were advised the draw is affordable due to underspend in 2016/17.

RESOLVED, that the Combined Authority

1. Notes the revenue budget, capital programme, and reserve outturn positions as summarised in the report.
2. Notes the exercise of delegations by the statutory officers to establish an investment fund vehicle to support housing and property investment aspirations.
3. Approves the budget variations as summarised within the report.

18 TREASURY OUTTURN REPORT

Members were presented with a compliance report of the Chief Financial Officer to update Leaders on the performance of the Treasury Management function over the course of the year.

It was noted treasury management can be defined as the management of the CA's cash flows, its banking, money market and capital market transactions; the effective control of the risks associated with those activities; and the pursuit of optimum performance consistent with those risks. It was noted performance is measured against prudential indicators which are set each year.

RESOLVED, that the Combined Authority notes the performance of the Authority against its prudential indicators over the course of financial year 2016/17.

19 DELEGATED AUTHORITY REPORT

A report was received to provide the Combined Authority with an update on decisions taken on behalf of the Authority (by statutory officers and the Business Investment Fund Programme Board) through agreed delegations of responsibility during the last 6m period.

Members were advised that all decisions taken under delegated powers, are made in accordance with the following principles: (a) Proportionality (meaning the action must be proportionate to the results to be achieved); (b) Due consultation (including the taking of relevant professional advice); (c) Respect for human rights; (d) Presumption in favour of openness; (e) Clarity of aims and desired outcomes; and (f) Due consideration to be given to alternative options.

RESOLVED, that the Combined Authority note and endorse the decisions listed.

20 SUSTAINABLE URBAN DEVELOPMENT

A report was presented seeking approval of the SCR Sustainable Urban Development (SUD) strategy that will enable the investment of £8.4m ERDF, from the 2014-20 ESIF Programme, towards projects that support Low Carbon development and Climate Change Adaptation (flood alleviation).

It was noted that in order to deliver the SUD, the SCR Combined Authority is required to enter into a contract with DCLG to secure Intermediate Body (IB) status within the ESIF Programme and thereafter select projects for the SUD in respect of Local Strategic fit.

RESOLVED, that the SCR Combined Authority:

1. Approves the contents of the SCR Sustainable Urban Development strategy.
2. Agrees to the SCR Combined Authority to becoming the Intermediate Body for the management of the SCR Sustainable Urban Development strategy.
3. Delegates to the Managing Director and Monitoring Officer the negotiation and completion of the legal documents associated with Intermediate Body status and thereafter putting in place the arrangements for the delivery of the Intermediate Body function, including the establishment of a sub-Board of the SCR Combined Authority.

CHAIR

17th July 2017

Devolution Update

Purpose of Report

This paper provides an update on the Sheffield City Region (SCR) Devolution Deal. Since the last meeting of the Combined Authority (CA) Chesterfield Borough Council (CBC) and Bassetlaw District Council (BDC) have both taken decisions to no longer pursue becoming constituent members of the SCR Combined Authority. The paper seeks for Leaders to consider and discuss how they wish to proceed with the Deal following these decisions.

Thematic Priority

Cross cutting impacting on all priorities.

Freedom of Information and Schedule 12A of the Local Government Act 1972

This paper will be available under the Combined Authority Publication Scheme.

Recommendations

- That the CA notes the decisions made by BDC and CBC to no longer pursue becoming constituent members of the SCR CA. (para 1.8)
- That the CA considers how it wishes to proceed with the Deal following the decisions taken by BDC and CBC. (section 2)

1. Introduction

- 1.1 The Sheffield City Region CA and Local Enterprise Partnership (LEP) are a well-established and strong public and private partnership. This partnership that has been working together since the initial formation of the LEP in 2010 has supported the City Region economy to grow by 37,000 jobs, with its programmes alone having unlocked 16,000 jobs. It has also helped to shape a post-industrial heritage into a distinctive, globalised region that leads advanced manufacturing and engineering across the UK.
- 1.2 It is also a partnership that has firm and solid foundations. When formed in 2014 the Combined Authority took on the powers of the Integrated Transport Authority with those of economic development and regeneration. Since this point it has agreed the fifth largest Growth Deal in the country, including two trailblazer initiatives through its Growth Hub and Skills Bank. This programme of investment is focused on delivering the vision of our Strategic Economic Plan (SEP). The important programme of work remains. Our strong

Assurance and Accountability Framework and governance processes leave us well placed to continue to transform the economy.

- 1.3 In October 2015, the Sheffield City Region agreed a Devolution Deal with Government. Focused on accelerating the delivery of our SEP, the Deal included a range of additional powers, funding and flexibilities to drive growth¹.
- 1.4 Since this point in time the City Region has been working to implement this Deal. This has included making progress across all thematic areas. For example, in progressing against our readiness to receive the devolved 19+ skills (adult education) budget, closer working with UKTI and being a part of the co-design process with the Work and Health programme.
- 1.5 As part of this wider process in spring 2016 two non-constituent authorities, BDC and CBC, set out their intention to pursue becoming constituent members of the SCR CA. Thus, in summer 2016 the SCR consulted upon a governance review and scheme to provide the CA with powers it needed to deliver the Deal, as well as expanding its constituent membership.
- 1.6 However, following a successful legal challenge regarding this consultation the implementation of the SCR Deal was delayed. Furthermore, this delay was increased by the purdah periods surrounding the local and national elections held in recent months.
- 1.7 Following these events and the progress of time in mid-June BDC and CBC respectively decided to withdraw their applications to become full constituent members of the Sheffield City Region Combined Authority. This represents disappointing, but entirely understandable news, for the CA and Local Enterprise Partnership (LEP).
- 1.8 However, whilst both authorities are no longer seeking to be constituent members they remain non-constituent members of the SCR CA and members of the SCR LEP. These successful partnerships have yielded significant benefits to date, including unlocking key developments such as Chesterfield Waterside, Peak Resort and Worksop Vesuvius. This is only the beginning of this investment and there remains much more to come which is forecast to facilitate the creation of almost 11,000 jobs and lever in more than £270m of other investment. Such investment is of vital importance in helping to grow the City Region's economy, realising the vision of our Strategic Economic Plan and delivering tangible benefits for residents and businesses.
- 1.9 In addition to these changes at the local level the country elected a new government at the beginning of June. Whilst the full implications of the results of this process are not yet known, it does pose issues for consideration in the progression of the devolution agenda in the SCR. In particular, it should be noted that:
 - Having lost the Conservative majority, the new government is likely to be more reluctant to progress legislative change and will want to ensure that anything being progressed is fully supported by a range of stakeholders locally.
 - As per its manifesto the new government is committed to progressing the devolution agenda and Mayoral Combined Authorities, with the greatest potential powers, freedoms and flexibilities on offer to those areas with these arrangements in place.

2. Proposal and justification

- 2.1 Considering the decisions taken by BDC and CBC as well as the uncertainty arising from the outcome of the General Election means that the SCR CA now needs to consider the

next steps to take forward the Deal. The CA is requested to consider and discuss this issue and decide what the next step should be.

- 2.2** Should further information become available which could inform the CA's considerations then this will be circulated.

3. Consideration of alternative approaches

- 3.1** Instead of considering its options the SCR CA could have continued to progress with the planned consultation on its Scheme. However, this Scheme and associated consultation was based on the two elements: firstly, securing the powers to deliver the Devolution Deal and secondly to expand the geography of the CA. Therefore, this Scheme would no longer represent the intentions of BDC and CBC. In light of these changes it was therefore considered important for the CA to consider its next steps in relation to the Devolution Deal.

4. Implications

4.1 Financial

Upon considering the next steps for the Devolution Deal it will be possible to set out the financial implications.

4.2 Legal

Upon considering the next steps for the Devolution Deal it will be possible to set out the legal implications.

4.3 Risk Management

The SCR CA is fully considering the potential next steps for the Devolution Deal following the decisions by BDC and CBC. This should include consideration of the risks associated with different options.

4.4 Equality, Diversity and Social Inclusion

None as a result of this report.

5. Communications

- 5.1** Both the Combined Authority and the LEP have expressed their disappointment at the decisions of Chesterfield and Bassetlaw – whilst entirely respecting the decision of these two authorities and their reasons for doing so. The SCR has successfully worked as a partnership to date – and there is nothing to stop the area continuing to do so in the future. In particular, this includes the delivery of SEP and associated programme of Growth Deal investment.

The SCR CA now needs to consider and decide how it wishes to proceed. following the discussion and agreement of what these next steps should be it will be important to ensure that these are effectively communicated across the City Region.

6. Appendices/Annexes

- 6.1** Not applicable

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Background papers used in the preparation of this report are available for inspection at: 11 Broad Street West, Sheffield S1 2BQ

Other sources and references:

17th JULY 2017

CREATION OF TfN AS A STATUTORY BODY – UPDATE

Summary

To consent to the establishment of Transport for the North (TfN) as a statutory sub-national transport body.

1. **Issue – Topic & Timescale**

- 1.1. To consent to the establishment of TfN as a statutory sub-national transport body and the subsuming of Rail North Limited into TfN.

2. **Recommendations**

Members approve:

- 2.1. The making by the Secretary of State Regulations under section 102E of the Local Transport Act 2008 to establish Transport for the North as a Sub-National Transport Body;
- 2.2. The transfer of Rail North Limited to TfN so that it can be subsumed within TfN;
- 2.3. The signing of a new Rail Franchise Management Agreement with TfN replicating as far as possible the current. Rail North Limited Members Agreement.

3. **Background Information**

- 3.1. The stated purpose of TfN is to transform the transport system of the North of England by planning and delivering the improvements needed to truly connect the region with fast, frequent and reliable transport links, driving economic growth and creating a Northern Powerhouse. In addition, TfN will take responsibility for the management of the TransPennine Express and Northern Rail Franchises on behalf of the Secretary of State for Transport.
- 3.2. In October 2016, with the agreement of all the Constituent Authorities, TfN submitted a proposal to the Secretary of State for Transport that TfN should be established as the first Sub-national Transport Body (STB). The Secretary of State has now formally responded to the Proposal and has indicated that he is minded to

make Regulations creating TfN as the first Sub-national Transport Body with the following functions:

- (a) The preparation of a Northern Transport Strategy;
- (b) The provision of advice on the North's priorities, as a Statutory Partner in the Department's investment processes;
- (c) The coordination of regional transport activities, (such as smart ticketing), and the co-management of the TransPennine Express and Northern rail franchises through the acquisition of Rail North Ltd ('RNL'). TfN will take on the role of RNL as part of one co-ordinated entity. This will allow TfN to better make the case for further rail franchising devolution and rail powers.

3.3. TfN has to date been operating as a non-statutory body and working with the DfT on agreeing its remit whilst at the same time building the organisation. The proposal is that the Regulations for establishing TfN as a statutory body will be made in the Autumn 2017. Draft Regulations have been produced and we are working with TfN to ensure they reflect the agreed position in terms of powers and constitutional issues. The initial draft Regulations do not contain any provisions that raise concerns.

3.4. In order to meet that timescale the DfT require TfN to secure the approval of all 19 Constituent Authorities to the making of the regulations, allowing the Minister to lay these before parliament. Constituent Authority approval is sought no later than the end of July 2017. The Constituent Authorities are also asked to approve the transfer of Rail North Limited to TfN and the signing of a new Rail Franchise Management Agreement with DfT.

GOVERNANCE

3.5. TfN have provided Constituent Authorities with a draft constitution. In terms of governance/decision making the draft constitution sets out that TfN will be made up of one elected member from each Constituent Authority, and this will be, at the choice of the Constituent Authority, either the metro mayor (if applicable), or the chair or leader of the Authority or, where responsibility for transport functions is formally delegated to another elected member, that elected member. Each Constituent Authority will have weighted voting rights based on population (for South Yorkshire this is equivalent to 9%). Certain decisions will need a super majority of 75% of the weighted votes (Transport Strategy; Budget and changes to the Constitution).

3.6. TfN will establish a Partnership Board with DfT and other National Agencies and LEP representatives. The role of the Partnership Board will be to represent wider business interests and to advise on policies and priorities relating to transport and the effect of transport on the economy of the TfN area and to lead the development operations and delivery of the Regional Transport Strategy.

3.7. TfN will participate in the Highways North Board together with Highways England and the Department for Transport. Highways North Board will recommend conclusions, papers and materials to inform the decision making process for strategic highway investment. Highways North Board will be the mechanism through which TfN fulfils their statutory partner role in relation to roads. Existing Highway Authorities will remain as such with no transfer of functions to TfN.

- 3.8. TfN will participate in the Rail Partnership Board together with the Department for Transport, The Rail Partnership Board will recommend priorities for strategic rail investment and be the forum for TfN to implement its role as statutory partner. The Rail North Partnership Board will be the mechanism through which TfN fulfils their statutory partner role in relation to rail. The draft regulations will clarify the extent of the statutory partner role.
- 3.9. Audit; Scrutiny and Rail North Committees will also be established.
- 3.10. One of the drivers for the creation of TfN as a Sub-National Transport Body was to create a body which could speak with one voice on all transport matters affecting the North of England. To achieve that, it is proposed that TfN should take over ownership of Rail North Limited and subsume all of its functions directly into TfN.

Rail North Limited would be replaced by a Committee of TfN on which the former Rail North Member Authorities would be represented and have the same voting rights as under the Memorandum and Articles of the Company.

The Rail North Committee will oversee the management of the rail franchises (Transpennine and Northern). In addition to the 19 TfN members there will be the 6 additional East Midlands and Lincolnshire Local Authorities on this committee, replicating the membership of Rail North Limited. Before this can be achieved all the current members of Rail North Limited will need to formally agree to the proposals for the transfer of Rail North Limited to TfN.

The current Members Agreement with Rail North Limited will be replaced by a Rail Franchise Management Agreement between TfN and the current Members of Rail North Limited which will replicate as far as possible the provisions of the Members Agreement.

- 3.11. The Chief Executives or their representatives will continue to meet to provide oversight of the activities of TfN and review draft Board papers and advise on policy and strategy proposals.

FUNDING

- 3.12. In terms of funding, at present the costs of TfN are met from central government funding. However, in the future the Constituent Authorities may all be required to contribute to the costs of TfN, but a decision to require such contributions and a decision as to the amount of such contributions would need a unanimous decision of the Constituent Authorities and may only be taken after written consent to the proposal has been received from each of the Constituent Authorities. TfN shall be entitled to accept voluntary contributions towards its costs from any of the Constituent Authorities, this would allow an individual or group of Authorities to fund specific TfN activity. At present the Constituent Authorities and the Rail North Authorities make the Rail North Support Payment £2,880.00 and the Authorities in receipt of rail administrative grant (of which the SCR CA is one) make the Rail North Supplemental Payment £50,000.00 to support Rail North Limited. These payments will continue.

4. Implications

- i. Financial

There are no immediate financial implications, but funding is considered in paragraph [2.10] above.

ii. Legal

The power to establish a Sub-National Transport Body is set out in the Local Transport Act 2008.

iii. Diversity

There are no immediate diversity implications arising from a decision to consent to the establishment of TfN.

iv. Equality

There are no immediate equality implications arising from a decision to consent to the establishment of TfN.

**REPORT AUTHOR: STEVE DAVENPORT
POST PRINCIPAL SOLICITOR & SECRETARY TO THE
EXECUTIVE**

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Executive
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Background papers used in the preparation of this report are available for inspection at 11 Broad Street West, Sheffield.
Other sources and references:

17th July 2017

Delegated Authority Report

Purpose of Report

This paper updates the Combined Authority on delegations made in CA approved recommendations that have been acted upon in the last period.

Thematic Priority

All.

Freedom of Information and Schedule 12A of the Local Government Act 1972

Under the Freedom of Information Act this paper and any appendices will be made available under the Combined Authority Publication Scheme. This scheme commits the Authority to make information about how decisions are made available to the public as part of its normal business activities.

Recommendations

Members are asked to review the delegations made and identify any issues.

Appendix A provides details of delegations made to officers and others in addition to the Scheme of Delegation.

Appendix B provides details of decisions taken under the delegation made to BIF Programme Board in 2015 to approve grants of up to £2m to support investment in indigenous companies where there was a demonstrable case for grant in order to ensure businesses receive the support they need to flourish.

All decisions taken under delegated powers, are made in accordance with the following principles:

- (a) Proportionality (meaning the action must be proportionate to the results to be achieved);
- (b) Due consultation (including the taking of relevant professional advice);
- (c) Respect for human rights;
- (d) Presumption in favour of openness;
- (e) Clarity of aims and desired outcomes;
- (f) Due consideration to be given to alternative options.

REPORT AUTHOR

Claire James

POST

Governance and Compliance Officer

Officer responsible

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Background papers used in the preparation of this report are available for inspection at: 11 Broad Street West, Sheffield S1 2BQ

Other sources and references: n/a

Date delegation made	Delegation made	Delegated to	Financial value	Update	Status
12th June 2017	To delegate responsibility to progress the appointments process for Independent Members of the SCR Audit Committee	Monitoring Officer, in consultation with the CA's other Statutory Officers	N/A	Vacancies advertised from 20th June, interviews to be held early July	Active
12th June 2017	To negotiate and complete the legal documents (with DCLG) associated with the establishment of the SCR CA's required Intermediate Body status to deliver the ERDF-funded SCR Sustainable Urban Development Strategy (SUD) and establish a sub-Board of the SCR Combined Authority.	Head of Paid Service and Monitoring Officer in conjunction with the Section 73 Officer and the Chair of the CA	£8.4m		Active

SCR CA Business Investment Fund

Summary of investments made to date

About

The Business Investment Fund (or 'BIF') is a £52m programme secured through Growth Deals 1 and 2 (as part of a ~£350m Local Growth Fund or "LGF" allocation). On 22 June 2015 and on 15 July 2015, the Combined Authority ("CA") and Local Enterprise Partnership ("LEP") approved the BIF Investment Strategy:

RESOLVED, that the Combined Authority Members: 1. Approve the outline investment strategy (set out in Sections 4 of the report). 2. Delegate operational responsibility for investment decisions to the RGF Panel, subject to the parameters and outline investment strategy set out in this paper and subject to alignment with the broader SCR-level Governance arrangement deal with elsewhere on this agenda. 3. Agree that the CA's S151 officer 'capitalises' all eligible programme management costs incurred in the delivery of this activity, and funds those costs from the RGF capital resource.

See: <http://meetings.southyorks.gov.uk/documents/g2885/Printed%20minutes%2022nd-Jun-2015%2016.00%20Sheffield%20City%20Region%20Combined%20Authority.pdf?T=1&zTS=B>.

The BIF programme Board has delegated authority to approve grants of up to £2m and has made 30¹ grant or loan awards to date (in addition to 129 as part of the "RGF" Programme). For grants of over £2m, the BIF Programme Board is asked to make a recommendation to the CA, who ultimately make any investment decision. This BIF Programme Board and / or other statutory officers may be given delegated authority by the CA to agree the terms and conditions of any grant award, within the parameters agreed by the CA.

¹ This does not include any awards that are exempt from publication under Schedule 12A of the Local Government Act 1972.

Summary of BIF grants approved

Company name	Grant / loan	Total investment	Employment impact	Status	Brief description
AAG Systems Ltd	£34,000	£120,000	12	Contract Issued	Funding to support the refurbishment of the new premises.
AF Chempharm	£25,000	£90,888	2	Contract Issued	Capital investment to support in-house chromatography.
Amber Precast	£173,913	£854,000	41	Approved	Capital investment to support joint venture with Davy Markham to supply nuclear waste decommissioning product.
Arthurs Skips	£150,000	£1,740,000	20	Contract Issued	Capital investment to support growth and job creation.
BA Clic Components	£440,000	£3,046,714	40	Contract Issued	Capital investment to support factory fit-out and new machinery.
BPH Engineering	£27,000	£92,000	4	Project Complete	Capital investment to support growth and job creation.
Coptrz	£110,000	£550,000	10	Contract Issued	Capital investment to support development of drone set - (drones, laser sensors, transponders etc.) and an integrated software platform.
Davy Markham	£500,000		0	Approved	Loan, at market rate, to support DM as they service a number of new contracts.
DSA	£1,240,000	£1,653,000	4	Contract Issued	Support for increased cargo capacity.
Eurosafe Solutions Ltd	£85,000	£350,000	25	Approved	Capital grant to support the fit-out of newly acquired premises. Leading to growth and job creation.

Company name	Grant / loan	Total investment	Employment impact	Status	Brief description
FFE	£1,000,000	£1,000,000	48	Contract Issued	Loan at market rate to support Microloan fund to invest in around 20 SMEs across the City Region.
Finance Yorkshire	£1,000,000	£1,000,000	TBC	Contract Issued	Risk capital to support FY "Interim Fund".
Forgemasters	£650,000	£6,500,000	650	Contract Issued	Capital investment in plant and machinery to enable access to new markets.
Granville Oils	£100,000	£1,545,000	8	Contract Issued	Capital investment to support expansion of premises.
GSK Holdings	£123,944	£413,148	30	Contract Issued	Capital investment to support new machinery purchase.
Hallam Castings Ltd	£65,000	£245,000	6	Contract Issued	Capital investment to support investment by aluminium casting business.
Highfield	£280,000	£2,305,000	60	Contract Issued	Loan to support increase in capacity.
Imoves	£25,000	£100,000	3	Approved	Capital investment to support new module / product line.
Kingfield Care Homes	£200,000	£1,460,000	31	Approved	Loan to support property purchase.
MAL	£12,000,000	£24,000,000	200	Contract Issued	Support for research and development programme and capital investment.
Meadowbank Shotblasting	£69,000	£340,000	13	Approved	Support for investment in equipment and facilities for large item shot-blasting and coating.
ND2016 / Kiveton Park Steel	£100,000	£1,000,000	70	Contract Issued	Capital investment to support growth and job creation.
Palamatic Ltd	£51,372	£226,372	8	Contract Issued	Capital investment to support growth and job creation.

Company name	Grant / loan	Total investment	Employment impact	Status	Brief description
PCT	£50,000	£525,000	10	Contract Issued	Capital investment to support purchase of new laser cutting machinery.
Pro Roll	£400,000	£2,531,500	25	Contract Issued	Capital investment to support growth and job creation.
Schuberts	£60,000	£285,000	8	Contract Issued	Capital investment to support growth and job creation.
Sentinel Brewing Company	£61,500	£350,036	12	Contract Issued	Capital investment to support start-up brewery.
TKL Excavations	£469,000	£2,349,400	51	Contract Issued	Capital investment to support growth and job creation.
UAM	£5,750,000	£40,150,000	55	Approved	Capital investment to support ~£45m inward investment in research project and fabrication facility.
Zoo Digital	£54,176	£270,880	28	Contract Issued	Capital investment to support growth and job creation.

** Note: these awards may be subject to contract, and therefore may not be legally binding on either the CA or the grant recipient i.e. any delegation may not have actually been exercised.*

For more information, please contact:

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Record No.	JAGU to Complete
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Executive Board	Housing Executive Board (HEB)
Date of Meeting:	Wednesday 28 th June 2017
Chair	Mayor Ros Jones

Chair to sign to confirm this is a true record of the resolutions made at the meeting

Confirmation that Senior Officers have endorsed the Resolution record (name and date)		
Executive Director	S151 Officer	Monitoring Officer

Records of Resolutions are public documents

Executive Board Resolution Record

Resolutions Taken - Summary

Joint Assets Board (JAB) Update

RESOLVED, that the Board:

- Endorse the activity of the Sheffield City Region Joint Assets Board as set out in the Board's minutes from June 2017.

The SCR Housing Intervention Fund (HIF)

RESOLVED, that the Board:

- Notes that subject to further work on a number of areas relating to the operation and governance of the fund, on the 22nd March 2017 the Sheffield City Region Combined Authority approved the establishment of the Housing Intervention Fund of up to £10m.
- Notes the approach officers are taking to address the work required to meet the 'conditions of the award'.

Business Plan Progress Tracker

RESOLVED, that the Board

- Note progress across the range of projects and workstreams identified in the Housing Executive Board's Business Plan, with mitigating actions agreed for activity which is not progressing as previously agreed by the Board.
- Agrees that the Business Plan Progress Tracker should be kept up to date, with reports to be presented to all future HEB meetings and a more comprehensive report should be prepared annually with a view to ensuring the HEB is fully engaged in discussions about future priority workstreams.

The SCR Bid to the National Shared Ownership and Affordable Homes

Programme (SOAHP) ('More New Homes)

RESOLVED, that the Board:

- Noted the content of the report.
- It was noted that the More New Homes bid was presented to the Combined Authority on 24th April where Leaders duly:
 - 1) confirmed that subject to the flexibilities on offer from the HCA, there is in principle agreement to developing a SCR-level programme of activity focussed on increasing the supply of affordable housing across the SCR and;

- 2) approved continued dialogue with the HCA focussed on obtaining a range of flexibilities and ultimately a ring fenced/ devolved fund which supports and complements a SCR-level activity to increase housing supply through the national Shared Ownership and Affordable Homes Programme.

The SCR Housing Providers Forum (HPF)

RESOLVED, that the Board:

- Endorses the request to add the Housing Providers' Forum as a standard agenda item for future meetings.
- Notes the verbal update provided to the Board following the HPF on 22nd June 2017.

Housing Growth Models

RESOLVED, that the Board:

- Notes the feedback provided by the Directors of Finance;
- Approves the piloting of the interventions and approaches set out in the report as part of the pilot SCR Housing Intervention Fund (noting that this will depend on the schemes which come forward for support from this fund, with each scheme subject to a robust appraisal);
- Endorses the final version of the commission report (enclosed at Annex A), noting the intention for this to be considered at a future meeting of the Combined Authority;
- Agrees that the lessons learnt from the pilot SCR Housing Intervention Fund inform future recommendations to the Combined Authority on how funds under SCR controlled (e.g. 'Gainshare' funds) can be best invested in line with SCR's housing priorities.

Supporting notes and contextual Information

Joint Assets Board (JAB) Update: The Board was presented with the minutes of the last meeting of the JAB.

The SCR Housing Intervention Fund (HIF): A verbal report provided the Board with an update on progress in developing the SCR Housing Intervention Fund (HIF). It was noted that further technical work would be undertaken over the coming weeks with progress to be shared with the Local Authority Directors of Housing Group prior to circulating further information to Board members.

Business Plan Progress Tracker: The Board was presented with a 'Progress Tracker report' providing a summary of progress against the key milestones for each of the projects / schemes which the HEB is responsible for driving forward. It was noted that the Business Plan Progress Tracker will be kept up to date and included as a standing item on future agendas. A number of improvements to the information presented were suggested and will be considered by Officers ahead of future updates.

The SCR Bid to the National Shared Ownership and Affordable Homes

Programme (SOAHP) ('More New Homes): The Board was presented with an update report which noted that since the last Board update, a number of matters have become clearer including; what a SCR approach could deliver in terms of increased and accelerated levels of affordable housing ('the numbers'), the number of additional homes that could be unlocked through flexibilities which are under the control of Government/ the HCA (our 'ask') and what LAs and Housing Associations are prepared to 'give' in order to unlock the flexibilities from Government and contribute to the objectives of More New Homes ('a something for something approach'). The SCR Combined Authority recently endorsed this work and a positive dialogue with the Homes and Communities Agency (HCA) continues.

The SCR Housing Providers Forum (HPF): A verbal report was received to update the Board on the activities of the SCR Housing Providers' Forum.

Housing Growth Models: A report was presented reminding the Board that as part of the work being undertaken by the SCR Local Authority Housing Directors Group, the City Region has commissioned a report to examine the ways in which housing delivery could be accelerated in order to meet the Region's ambitious target to deliver between 7,000 and 10,000 new residential properties a year over the next 10 years. Following consideration by the SCR Local Authorities Directors of Finance Group a

number of key recommendations relating to this work and associated next steps were considered and approved by the Board.

Is additional due diligence recommended by the Board?

No

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